

# South Dakota Proof of Concept SBIR Phase 0 Program Application

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## Purpose

The SBIR/STTR Phase 0 option is to support preliminary research and other activities related to development and submission of a Phase I SBIR/STTR proposal to a federal agency. The goal is to increase the competitiveness of the SBIR/STTR proposal.

## Application Process

- ❖ Complete the application form. Submit the application via email to the following individual:
  - Nadine Agneau – [Nadine.Agneau@sdgoed.com](mailto:Nadine.Agneau@sdgoed.com)
- ❖ **In order to be considered, applicants must notify the GOED of the intent to apply or submit the application a minimum of 6 weeks before the SBIR/STTR deadline.** If using the intent to apply, the application must be submitted 4 weeks before the deadline.
- ❖ Application should be a maximum of 5-pages.
- ❖ Up to \$5,000 may be requested in the itemized budget. Budgets cannot be used to pay principals of the submitting company.
- ❖ GOED Commissioner, staff and South Dakota SBIR Center Director will review the application and issue an award agreement if the application is approved.
- ❖ Applicants must be eligible to participate in the SBIR program.
- ❖ The awardee must submit a draft SBIR Phase I application to the SD SBIR Center one week prior to the submission deadline for review.
- ❖ The awardee must provide documentation evidencing the submission of the SBIR/STTR application to the federal agency and actual expenditures for which reimbursement is requested.
- ❖ Upon receipt of the documentation and approval then a final payment will be issued to the awardee.
- ❖ The awardee must notify GOED on the outcome of the SBIR/STTR application. If the federal agency does not fund the Phase I application the awardee would have no obligation to repay the Phase 0 funds. If the federal agency does fund the Phase I application the Phase 0 funds may be converted to a loan or equity at a later date if commercialized successfully.

## Questions?

If you have questions about the SBIR Phase 0 program, please contact Mel Ustad using the email address above or by phoning 605-670-1678.

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<b>Name:</b>					
<b>Address:</b>					
<b>City:</b>		<b>State:</b>		<b>Zip:</b>	
<b>Email:</b>					
<b>Phone</b>					

## Federal Agency and SBIR RFP you plan to respond:

<b>Federal Agency Name:</b>	
<b>RFP Deadline:</b>	

**Please provide the following information as an attachment** *(not to exceed 5 pages)*.

- Brief description of the concept/innovation/technology
- SBIR Phase 0 Work Plan
- Commercial applications and potential economic impact in South Dakota

## Detailed Budget Worksheet

Please itemize the budgeted expenses up to \$5,000 for your SBIR Phase 0 Proof of Concept request. If multiple items are budgeted under a category, the separate costs for each item must be provided. Match is not required but please include it if applicable.

***Please note:** Overhead and General and Administrative fees are not eligible for Phase 0 funds but can be documented as match.*

Description	South Dakota POC SBIR Phase 0	Applicant Match		Total
		Cash	In-kind	
Personal Services				
Fringe Benefits				
Travel				
Equipment				
Supplies				
Contractual				
Other				
<b>Total Costs</b>				